

The Due Diligence Platform for the Investment Management Industry



DUE DILIGENCE AUTOMATION CHALLENGE

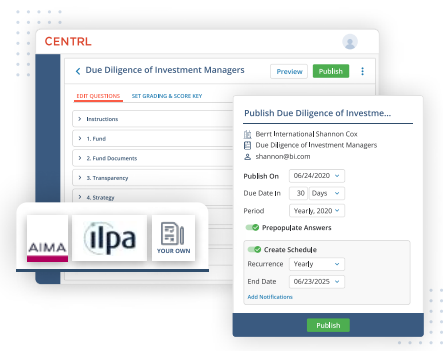
“Private markets are among our best performing asset classes but as we grow the portfolio, we need a way to mitigate the associated risks and efficiently scale to address the new reporting and due diligence demands.”
— Endowment CIO

We have seen due diligence systems that require us to re-type data into our analytical platform and download data to excel to produce more sophisticated analysis and reports. What a time drag. We just don't have enough staff to support this type of system.” — Due Diligence Manager

HOW WE SOLVE IT

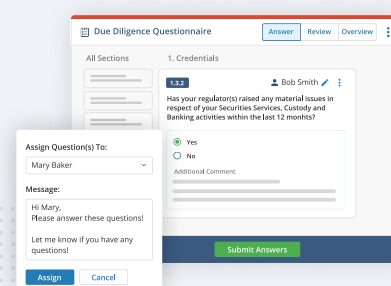
Reduce Effort Through the Most Powerful Automation in the Industry

- ✓ Use industry-standard templates from AIMA, ILPA, etc. Custom templates can also be digitized and put into the system.
- ✓ Fully configurable industrial Rules & Workflow Engine for risk identification, internal delegation, approval queues, custom reminders, etc.
- ✓ Ability to identify “changed questions” allowing analysts, for text type queries, to review the redlined current and the previous answers side by side saving significant effort.
- ✓ Extract answer to DDQ question and map to manager database, internal databases, eliminating retyping and other wasted effort.
- ✓ Supports auto scoring to greatly simplify the evaluation process.
- ✓ Database of regulatory references can be added to any question to show how the manager complies with a specific regulation.



Centralize Documents & Collaborate Within Platform

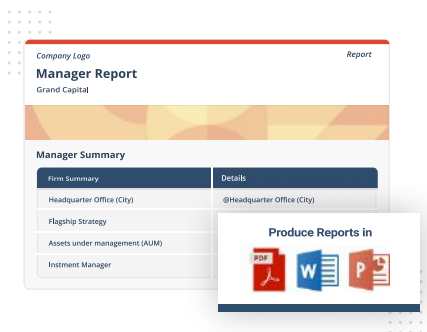
- ✓ Documents can be stored in a single library which includes powerful search capabilities, including within the document.
- ✓ Create all communications to colleagues and managers to include clarifications, comments and tagging users all with a detailed audit trail.
- ✓ Single location for all Fund & Manager information in our fully customizable database.
- ✓ Publish issues to Managers, interact with them on updates and severity and create actions plans to manage remediation.



Enable Deep Analytics and Benchmarking

- ✓ A highly detailed data model, business intelligence functionality and a real-time analytics engine provides us the ability to support deep benchmarking across managers, over time at the questionnaire and question level.
- ✓ Supports the investment team looking at historical cross-sectional data across funds to develop correlations or other valuable insights.
- ✓ Multiple grading scales can be configured to each question to support the analytical needs of both operations and investment management.
- ✓ Provides the ability to support internal governance, quality controls, KPI analysis, and Enterprise Risk Management.



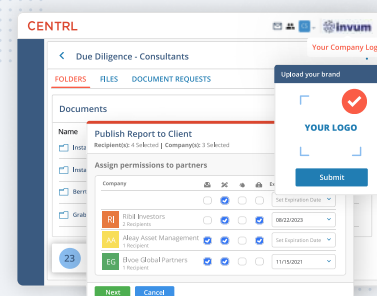


Generate Customized Reporting and Dashboards

- ✓ Create MS Word, Powerpoint or PDF reports with any data extract in the Application (Including Manager Data, DDQs and Issues) to automate the production of management level reporting.
- ✓ Produce bespoke dashboards to gain detailed analytical insights highlight risks & exceptions, track due diligence team KPIs as well as the progress of DDQs, Issues and assignments.
- ✓ Cross-manager and time-series reports with drill down and filtering capabilities.

Designed to Bring Consultants Dramatic Efficiency Improvements

- ✓ Manage all your funds and strategies data in one place.
- ✓ Publish reports to clients, control permissions for published reports, and Track statistics about client usage.
- ✓ Provide clients with your branded portal to receive reports. And communicate issues and clarifications with your team.
- ✓ Augment managers remote DDQ assessment with information from onsite audits.



Powered by the CENTRL, the Most Powerful Assessment Platform in the Industry

- ✓ Diligence platform supports several use cases including Bank Network and Vendor Management allowing us to drive innovation at a rapid pace.
- ✓ The wide breadth of our customer base requires us to continue to evolve the platform with at least 5 yearly updates to meet the ever-changing needs of the industry or regulator.
- ✓ Security is Paramount as the system meets the highest security including having a SOC2 certification and encrypting data in transit and at rest.
- ✓ The system has passed highly detailed security reviews by multiple top global banks and investors.

EASY TO ADOPT, FLEXIBLE TO MEET YOUR NEEDS, AND BUILT FOR AUTOMATION.



Get started quickly, configure to your needs, gain efficiencies and improve risk oversight

- 1 Utilize an industry standard template or let us digitize yours.
- 2 Import contacts to the Fund and Manager Database and distribute the DDQ.
- 3 Score responses, request clarifications, and log and remediate surfaced issues.
- 4 Perform analytics and benchmarking, review reports and dashboards, finalize assessments, share findings with managers along with remediation steps.

AND, NO NEED TAKE OUR WORD FOR IT

“ Now, it's all there on the system, we can see where everything is and where it is at in the process... Process is far smoother. It saves our team a couple of months each year now. And, given the reduced back-and-forth over email and excel spreadsheets, it generally reduces the time it takes us to do our weekly, monthly, quarterly, and annual reporting activities by about 50%.

- Global Fund of Funds

“ We looked at all the investment management due diligence solutions in the market and chose CENTRL because it was the most complete solution. It is very easy to use, has the greatest depth of functionality and analytics and provides flexibility to adapt it to our process.

- Leading Due Diligence Consultant